### BIOACTIVE COMPONENTS OF HUMAN MILK Including HMOs and Other Prebiotics

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## **Faculty Disclosures**

#### Lars Bode, PhD

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### **Learning Objectives**

Summarize ongoing research into the bioactive components of human milk

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Describe the evidence for use of prebiotics in infant feeding



# Human Breast Milk Composition

Introduction to Human Milk Oligosaccharides



### **The Composition of Human Breast Milk**



2. Sánchez C, et al. Nutrients. 2021;13(3):1026.

## **Overview of Human Milk Oligosaccharides**

- Nonnutritive carbohydrates found in breast milk<sup>[1]</sup>
  - Indigestible and reach the small intestine and colon virtually intact
- Third most abundant bioactive component in human breast milk (after lipids and lactose)<sup>[1]</sup>
- Unique to human breast milk<sup>[1],[2]</sup>
  - Synthesis is highly energy intensive <sup>[1]</sup>

Macronutrient Concentrations in Human and Cow's Milk [2]				
	Human	Cow		
Protein (g/L)	8	32		
Fat (g/L)	41	37		
Lactose (g/L)	70	48		
Oligosaccharides (g/L)	5-15	0.05		



## **HMO Structural Blueprint**

- **5 basic building blocks** (monosaccharides) of HMOs<sup>[1],[2]</sup>:
  - glucose
    galactose
    N-acetylglucosamine
    fucose
    sialic acid
- Over 150 HMOs have been identified<sup>[1]</sup>



1. Sánchez C, et al. Nutrients. 2021;13(3):1026.

 Bode L. Glycobiology. 2012;22(9):1147–1162. Used by permission of Oxford University Press on behalf of the American Society for Nutrition.

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- 1. Cheng YJ, Yeung CY. Pediatr Neonatol. 2021;62(4):347-353.
- Bode L. Glycobiology. 2012;22(9):1147–1162. Used by permission of Oxford University Press on behalf of the American Society for Nutrition.

### **Maternal Variation in HMO Concentration**



CHILD, Canadian Healthy Infant Longitudinal Development study.



 Azad MB, et al. J Nutr. 2018;148(11):1733-1742. Used by permission of Oxford University Press on behalf of the American Society for Nutrition.

#### Genetic Drivers of Maternal Variation in HMO Production

- HMO composition in breast milk is determined in part by maternal genetics and the activity of genes in the Lewis antigen system
  - *Se* (secretor) gene: α1-2-fucoslyltransferase (FUT2)
  - *Le* (Lewis) gene: α1-3/4-fucosyltransferase (FUT3)
- FUT2 is responsible for synthesis of 2'-FL and other α1-2-fucosylated HMOs
  - Women who do not encode a functional FUT2 enzyme cannot synthesize α1-2-fucosylated HMOs

Maternal Genetic Background and Associated HMO Composition					
Lewis Gene (FUT3)	Secretor Gene (FUT2)	Phenotype	Associated HMOs		
+	+	Lewis positive secretor	All HMOs		
+	-	Lewis positive non-secretor	LNT, LNFP II, LNFP III, LNDFH II		
-	+	Lewis negative secretor	2'-FL, 3FL, LNFP I, LNFP II		
-	-	Lewis negative non-secretor	3FL, LNT, LNFP III, LNFP V		

LNT, lacto-N-tetraose; LNFP, lacto-N-fucopentaose; LNDFH, lacto-N-difucohexaose; FL, fucosyllactose.

1. Cheng YJ, Yeung CY. Pediatr Neonatol. 2021;62(4):347-353.



#### HMO Composition of Mature Breast Milk Varies by Secretor Status HMO Composition of Mature Milk

- Study of 96 milk samples from 32 mothers with preterm and term infants found significant differences in HMO composition based on genotype
- Secretors had higher concentrations of 2'-FL and LNFP I, and lower concentrations of LNT, LNFP II, and LNDFH II



LNFP, lacto-N-fucopentaose; LNT, lacto-N-tetraose; LNFDH, lacto-N-difucohexaose.



#### **Geographic Variation in Secretor Status**



Percentage of milk donors per country categorized as secretors

McGuire MK, et al. Am J Clin Nutr. 2017;105(5):1086-1100.
 Cheng YJ, Yeung CY. Pediatr Neonatol. 2021;62(4):347-353.



# Maternal Diet Affects the HMO Composition of Breast Milk<sup>[a]</sup>



a. According to a human crossover study of 14 lactating women (7 8–11 weeks postpartum and 7 9–12 weeks postpartum); bolded letters indicate non-secretors.



#### Maternal Diet Alters the Abundance of HMO-Bound Fucose and Sialic Acid in Breast Milk



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1. Seferovic MD, et al. Sci Rep. 2020;10(1):22092.

#### HMO Composition of Breast Milk Changes Over Time

- The HMO composition of breast milk changes over the postpartum period.
- The concentration of most HMOs decreases whereas 2'-FL remains stable and 3FL increases.
- Changing composition of breast milk may reflect the changing needs of a growing infant.





 Plows JF, et al. J Nutr. 2021;151(4):876-882. Used by permission of Oxford University Press on behalf of the American Society for Nutrition.

### **Key Takeaways**

Human milk oligosaccharides represent a major bioactive component of human breast milk

Maternal variation in HMO composition is driven by a variety of factors, including genetics, geography, diet, and time



# **Effects of HMOs**



## **HMOs Promote Gut and Immune Health in Infants**

# A variety of HMO-dependent effects promote gut and immune health:



#### **Prebiotic Effects**

HMOs serve as metabolic substrates for beneficial bacteria within the gut



#### **Antimicrobial Effects**

Attachment of HMOs to epithelial receptors in the gut prevents microbial attachment



#### **Transcriptional Effects**

Signaling via HMOs alters the gene expression of intestinal epithelial cells



#### Immunomodulatory Effects

Cytokine production and inflammatory cell infiltration are modulated by HMOs



#### HMOs Stimulate the Growth of Beneficial Bacteria in the Infant Gut

- Undigested HMOs in the gut serve as metabolic substrates for beneficial bacteria, which form the basis of the infant microbiome<sup>[1]</sup>
- HMOs promote the growth of bacteria that express sialidases and fucosidases, which can utilize HMOs, over other bacteria that cannot utilize HMOs as an energy source<sup>[1],[2]</sup>
  - The *Bifidobacterium* genus is the dominant HMO-utilizing species in the gut of breastfed infants<sup>[1]</sup>
  - HMOs can also be utilized by some strains of *Bacteroides* and *Lactobacillus*<sup>[1]</sup>



# Microbial Diversity in the Gut Is Influenced by the HMO Composition of Breast Milk

- In an analysis of 412 milk and 406 infant fecal samples, the concentrations of several HMOs in maternal breast milk were significantly correlated with microbial community structures<sup>[1]</sup>
- In breast milk, most bacterial variants were negatively associated with sialylated HMOs and positively associated with fucosylated HMOs<sup>[2],[a]</sup>
  - Reversed for Staphylococcus

#### Selected HMOs Associated with Infant Fecal Microbial Community Structural<sup>[1]</sup>



a. According to results from the CHILD study.

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#### HMOs Exhibit Unique Antimicrobial Properties Against Group B *Streptococcus*

- Approximately 10<sup>5</sup> cfu Streptococcus were resuspended in tissue culture media with (solid) or without (dashed) HMOs (2 mg/mL)
  - Isolated from pooled human milk
- Group B Streptococcus growth was inhibited 10-fold (P < 0.05)</li>
- Antimicrobial HMO: LNT
- Bacterial target: Glycosyltransferase



#### Inhibition of Bacterial Growth by HMOs

cfu, colony-forming units; LNT, lacto-N-tetraose; *Strep, Streptococcus*; MRSA, methicillin-resistant *S. aureus.* 





# Signaling by HMOs Regulates Immune Activity

- ~70% of immune cells are located in the gut<sup>[1]</sup>
- HMO binding to cellular receptors can modulate immune-cell signaling and activity<sup>[2]</sup>
  - Galectins: regulation of T-cell function
  - Selectins: leukocyte trafficking
  - Integrins: regulation of leukocyte interactions
- Acidic HMOs play a variety of immunomodulatory roles:<sup>[2]</sup>
  - Downregulation of type 2 immune responses
  - Inhibition of T-cell proliferation



## **Health Implications: Necrotizing Enterocolitis**

- Necrotizing enterocolitis (NEC) is a deadly intestinal disorder that occurs in upwards of 7% of preterm infants with very low birth weight (500–1500 g).<sup>[1]</sup>
  - The mortality rate of NEC ranges from 10% to 50%, up to 100% for the most severe forms.
- The etiology of NEC is still unknown but is related to inflammation in the gut and failure of the intestinal epithelial barrier.<sup>[1]</sup>
- Preterm infants who are breastfed are 6- to 10-times less likely to develop NEC compared with formula-fed infants.<sup>[1]</sup>

Orthogonal partial least squares discriminant analysis of maternal HMO profile<sup>[1]</sup>





# Protection Against NEC May Be Associated With a Specific HMO

- In neonatal rats, HMOs (specifically, DSLNT) with 2 sialic acids were found to play a protective role against NEC<sup>[1]</sup>
- In human infants, maternal breast milk DSLNT concentration was predictive of NEC development<sup>[2]</sup>
  - DSLNT threshold level of 241 nmol/mL had a sensitivity and specificity of 0.9 for NEC
- Development of NEC may be related to microbiome composition<sup>[2]</sup>
  - Infants with NEC had lower relative abundance of Bifidobacterium longum and higher relative abundance of Enterobacter cloacae



#### Concentration of DSLNT by NEC Status<sup>[1]</sup>





#### HMOs Protect Against Some Infections<sup>a</sup>...



#### Diarrhea

Higher relative abundance of fucosylated HMOs was associated with reduced incidence of diarrhea by 2 years.





#### Respiratory Tract Infections & Gastroenteritis

Higher LNFP II concentrations were associated with fewer cases of respiratory tract infections and gastroenteritis at 6 and 12 weeks.

#### HIV

Higher HMO concentration was associated with reduced risk for HIV transmission and mortality risk among HIV-exposed infants.

<sup>a</sup>According to a systematic review of 6 original studies.<sup>[1]</sup> LNFP, lacto-N-fucopentaose; HIV, human immunodeficiency virus.



1. Doherty AM, et al. Front Pediatr. 2018;6:91.

## ...But May Enhance Infectivity of Other Pathogens

- Maternal breast milk HMO profile of infants with symptomatic rotavirus infection was distinct from that of rotavirus-negative and asymptomatic rotavirus-positive infants<sup>[a]</sup>
- Concentrations of LNT were most highly predictive of symptomatic rotavirus infection
  - Infectivity was also enhanced with the addition of 2'-FL and LNFP I in vitro
- LNT concentrations were positively correlated with *Enterobacter/Klebsiella* abundance and symptomatic infection

a. According to an analysis of 181 mother-infant pairs from Vellore, India.<sup>[1]</sup> LNFP, lacto-N-fucopentaose.



# Is HMO Supplementation a Substitute for Natural HMO Composition?

- In breast milk, HMOs exist as a complex mixture that changes with infant growth and development
  - Adding single oligosaccharides to infant formula is **not** equivalent to the mixture observed in breast milk
- Individual HMOs may have variable effects on infant health.
  - Lacto-n-neotetraose (LNnT): higher concentrations in breast milk are negatively associated with child height and weight between 3 months and 12 years of age<sup>[1]</sup>



- Disialyllacto-N-tetraose (DSLNT): lower concentrations in milk samples in NEC relative to controls<sup>[2]</sup>
- Overall HMO composition and the ratios between them are likely to be more important than single oligosaccharides<sup>[1]</sup>

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Lacto-N-*neo*tetraose (LNnT)

#### Emerging Research: HMO Composition Is Associated With Food Sensitivity Risk

- Overall HMO composition, but not individual HMOs, is associated with food sensitivity<sup>[1]</sup>
- LNFP III may be protective against cow's milk allergy<sup>[2]</sup>
  - Infants with LNFP III concentrations <60 µM were</li>
     6.7-times more likely to develop cow's milk allergy



## **Emerging Research: HMO Composition and Weight**

- In a small cohort study (n=30 infants), HMO composition in breast milk was linked to excessive weight gain (weight-for-age z-score >2) over 6 months of age<sup>[1]</sup>
- Individual HMOs may be associated with weight changes, but more research is needed
  - May have important implications for obesity risk<sup>[1]</sup> and malnutrition
  - Considerations for formula supplementation

HMO Composition and Weight Gain						
Positive Associations Reported						
<b>DSLNT</b> <sup>[1],[3]</sup>	3FL <sup>[3]</sup>	6'-SL <sup>[3]</sup>				
2'-FL <sup>[2]</sup>	3'-SL <sup>[3]</sup>	DSLNH <sup>[3]</sup>				
Negative Associations Reported						
LNFP II <sup>[1]</sup>						
Conflicting Associations Reported						
LNnT <sup>[1],[2]</sup>						

DSLNT, disialyllacto-N-tetraose; 3FL, 3-fucosyllactose; 3'-SL, 3-sialyllactose, 6'-SL, 6-sialyllactose; DSLNH, disialyllacto-N-hexaose.



2. Larsson MW, et al. Front Pediatr. 2019;7:297.

3. Saben JL, et al. Nutrients. 2021;13(2):446.

### **Emerging Research: Cognitive Development**

Breastfeeding frequency and breast milk concentrations of **2'-FL** at 1 month also correlated with **infant cognitive development scores** at 24 months<sup>[1]</sup>



1. Berger PK, et al. PLoS One. 2020;15(2):e0228323. Use under terms of a Creative Commons license (CC BY 4.0).

## Key Takeaways



HMOs support the development of the gut microbiome and immune system by serving as prebiotics, antimicrobials, and regulators of immune and epithelial cells





Emerging research suggests a role for HMOs in infant growth and development, including food sensitivities and cognitive function



Overall HMO composition may be more important for associated health benefits than individual HMOs



# Oligosaccharides in Infant Formula



## **HMO Supplementation in Infant Formula**

- HMOs currently used to supplement infant formula: 2'-FL and LNnT
- In a multicenter RCT, infants fed formula supplemented with 2'-FL (1 g/L) and LNnT (0.5 g/L) experienced a shift in their fecal microbiome signature closer to that of EBF infants compared with those fed control formula<sup>[1]</sup>
- Compared with control formula, HMO supplementation was associated with less frequent respiratory infections, including bronchitis, and less antibiotic use<sup>[2]</sup>

RCT, randomized, controlled trial; EBF, exclusively breastfed.

Berger B, et al. *mBio*. 2020;11(2):e03196-19.
 Puccio G, et al. *J Pediatr Gastroenterol Nutr*. 2017;64(4):624-631.





#### Non-HMO Carbohydrate Prebiotics in Infant Formula

- Several non-HMO prebiotics are used to supplement commercial and clinical infant formula as well, including:
  - Galactooligosaccharides (GOS)
  - Fructooligosaccharides (FOS)
  - Inulin
  - Lactulose
  - Polydextrose
- The goal of addition of these prebiotics to infant formula is to produce a product that results in a microbiome composition closer to that of breastfed infants
  - May also serve as anti-adhesive microbials and possess anti-inflammatory properties



#### Non-HMO Prebiotics Support *Bifidobacterium* Growth

- In clinical trials, infant formula supplemented with inulin<sup>[1]</sup> or GOS<sup>[2]</sup> supported the growth of *Bifidobacterium* within the infant gut
  - High-fat infant formula supplemented with GOS increased the proportion of fecal *Bifidobacterium* to a level that was not statistically different from that of **breastfed** infants<sup>[2]</sup>
- No difference in the incidence of gastrointestinal infections was observed,<sup>[1]</sup> but prebiotic supplementation reduced the duration of infections<sup>[2]</sup>





#### Prebiotic Supplementation Provides Additional **Health Benefits**

Supplementation of infant formula with non-HMO prebiotics provides a variety of additional health benefits, including:

- Immune development and infection contro [1],[2]
- Healthy infant growth<sup>[3]</sup>
- Prevention of allergy and atopic dermatitis<sup>[4]</sup>

#### **Cumulative Incidence of Atopic** Dermatitis at 6 Months of Age<sup>[4]</sup>





Scholtens PA, et al. J Nutr. 2008;138(6):1141-1147.
 Bruzzese E, et al. J Pediatr Gastroenterol Nutr. 2006;42(5):E95.

 Vandenplas Y, et al. Nutrients. 2020;12(11):3560. Moro G, et al. Arch Dis Child. 2006;91(10):814-819.

## **Non-HMO and HMO Prebiotics Are Not Equal**

- In an RCT, infants who were exclusively formula-fed were randomized to receive control formula containing GOS or formula supplemented with 2'-FL (0.2 or 1.0 g/mL)
- Infants fed 2'-FL-supplemented formula had lower inflammatory cytokine profiles compared with those fed control formula
  - Similar to exclusively breastfed infants





#### Discussion: Implications for Counseling New Parents

- Research on the effects of HMOs and other human milk bioactive components is still in the early stages
  - More research is needed to fully understand potential impact
- A detailed mechanistic understanding of HMO effects is required to guide formula product development
- There are potential opportunities for personalized early life nutrition
  - Not every HMO (either alone or in a blend) may be valuable or effective for every baby and situation





HMO (2'-FL and LNnT) and non-HMO prebiotics are added to infant formulas to support healthy gut development.

While non-HMO prebiotics provide a variety of health benefits, they are not equivalent to HMO prebiotics.

